



Office of Research Compliance (RSCP)

The Office of Research Compliance, a unit of the Office of the Vice Provost for Research and Economic Development, is responsible for helping faculty, staff and students comply with federal and state regulatory requirements for sponsored and unsponsored research and with associated University of Arkansas policies.

What should I know about export controls?

Are export controls applicable to me?

Yes, they likely are. U.S. export regulations control the transfer of technical data or technology to non-U.S. persons, even within the U.S., as well as international shipments of tangible items. If you are involved in the following university activities, you must understand applicable restrictions:

- **STEM Research**
- **International Travel**
- **International Shipments**
- **Monetary Transactions**
- **STEM Coursework**

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Export Controls



What types of items or information are controlled?

Multiple government agencies are involved in administering export controls and a simple consolidated list of controlled items or data does not exist. A wide array of technically specified items are controlled to different degrees including equipment, components, materials, software and technology related to the following categories:

**Chemicals, Toxins, Pathogens,
Microorganisms, Material Processing and
Characterization Tools, Integrated Circuits,
Sensors, Radar, Lasers,
Military Electronics, Computers,
Telecommunications, Information Security,
Encryption, Navigation, Propulsion,
Avionics, Marine, Aerospace,
Nuclear Power, Munitions**

Violators face monetary penalties & incarceration.

Are there restrictions specific to countries, entities or individuals?

Yes. OFAC sanctions, economic and trade embargoes, restricted party lists and end-use/end-user determinations further limit export transactions with specific countries, entities and people. Hence detailed knowledge of the item to be exported along with the recipient are necessary in order to determine applicable export controls.

When in doubt, consult the experts in RSCP!

How does this translate to my university activities?

Research

Generally the results of basic and applied fundamental research are uncontrolled. Related agreements and awards should be reviewed to ensure publication, participation or confidentiality restrictions do not nullify this exemption. Encryption items, proprietary information, and inputs to the research may be controlled and require a TCP.



Courses in STEM Fields

Most educational information released by instruction in a catalog course and associated teaching labs is not controlled. A detailed review by RSCP is required for distance education, encryption or ITAR controlled items.



Hosting Foreign Nationals

Hosts are responsible for requesting restricted party list screening prior to inviting guests. Hosts are also responsible for ensuring that guests do not have access to export-controlled items during their visit; a specific plan may be needed.

International Travel

International travel must be registered with the Office of Study Abroad and International Exchange. Only travel with necessary items and review those with RSCP prior to departure in order to obtain an Export Certification form. Special caution is needed regarding travel with technical data.

International Shipments

Hardware, software and technology should be classified prior to export and transferred as legally permissible. This applies to electronic transfers as well as physical shipments. Export licenses may be necessary.

Monetary Transactions

Suppliers, sponsors and customers should be screened against restricted party lists.